

## Officers of the Organized Section

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University of Washington

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## Letter from the President

Peter Lange, Duke University

Welcome to the second issue of *APSA-CP*, the Newsletter of the Organized Section in Comparative Politics. As you will see, it contains some new features which we hope will be useful to you in teaching and research. In addition, there is a report on our annual meeting last year, a recommended by-law change on which we are asking you to vote with a ballot which is enclosed, a listing of the panels organized by Robert Bates for this year's Annual Meeting in Washington and information about activities of the section in the coming year and possibilities for your participation. In this brief introductory letter, I want to announce some appointments and say a bit about our plans for the coming year.

## Comings

It is with great pleasure and enthusiasm that I announce two appointments which have been made in recent months.

John Keeler, Associate Professor of Political Science at the University of Washington, has agreed to serve as Editor of the Newsletter for the Organized Section. John specializes in European politics and comparative public policy. He is the author of an excellent book on the politics of agriculture and interest group-state relations in postwar France as well as a number of articles in major journals, and he is now in the process of completing a book on "The Limits of Democratic Reform." He is also the Book Review Editor for *Comparative Political Studies* and a member of the Executive Committee of the Conference Group on French Politics and Society. John inaugurates his tenure as Editor with this issue and has ambitious hopes for the future content and frequency of the Newsletter. More on this below.

W. Phillips Shively, Professor of Political Science at the University of Minnesota, has kindly agreed to serve as Program Chair for the panels of the Organized Section at the 1992 Annual Meeting of the Association. Phil is the author of numerous books and articles on comparative political behavior and methodology and is

(continued on page 2)

## Don't Miss the Annual Business Meeting!

The annual business meeting of the Section in Comparative Politics is provisionally scheduled for Saturday, September 1, at 5:30 p.m. at the APSA meeting in Washington, D.C. Please check the final program for confirmation of the time and for the room number. All members and other interested persons are invited to attend.

*Letter from the President,  
continued from page 1*

a former Editor of the *American Journal of Political Science*. Phil is intending to continue a mix of panels which highlight major themes and controversies in the theory and methodology of comparative politics and which provide opportunities for the presentation of cross-national and cross-regional research results. The "call for papers" which he has developed is included in this issue and you are encouraged to submit proposals for papers and panels during the fall.

## Plans

The Organized Section is well-established. We have a membership of about eight hundred, a thriving program at the Annual Meeting, an excellent Executive Committee and group of officers and an expanding newsletter. We want now to expand our activities in several directions.

The first is to increase the number of Newsletters each year from one to two. The first would appear in the winter, the second around this time each summer. The two issues each year would have a number of features in addition to the presentation of the Section's business. One of these, begun with this issue, is the circulation of syllabi for graduate or undergraduate courses which we think might be of interest to the members. In the future, it might be good for the authors of these syllabi to annotate them with observations on how they work in the classroom and particular pedagogical or substantive issues which they raise. The syllabi presented in this issue were solicited by the Editor and officers. **We hope in the future members will submit syllabi of their own or of their colleagues which they find of particular interest and/or potential utility.**

A second feature which we would like to introduce is short book reviews or review essays with references on themes in comparative which are receiving substantial attention from our colleagues. These would be intended primarily as introductions or annotations to bibliographies including both recently published work and "samizdat" manuscripts circulating among those working most closely on the subject. Given the long lead-time for the journals, this could prove very useful for both teaching and research.

Third, we would also like to make the Newsletter a forum for discussion through letters and comments exchanged in its pages. This is a natural product of the preceding two initiatives, as readers react to syllabi or essays and thereby open a dialogue. Where appropriate, letters and comments submitted will be forwarded to the relevant authors for reaction, and the whole package will be published in *APSA-CP*.

Finally, we would like *APSA-CP* to become a forum for commentary on developments which are affecting our field.

These could range from curricular or other changes on university campuses to developments among funding agencies.

A new initiative beyond the Newsletter concerns conferences and panels at venues other than APSA. One idea which comes to mind is to sponsor or co-sponsor conferences on themes related to the field of comparative politics and its teaching. We are currently considering, for instance, a proposal from an area Conference Group to jointly sponsor a workshop on the teaching of European politics in the post-Cold War, post-1992 era. Such a workshop would focus on pedagogical issues for both undergraduates and graduate students and would produce teaching-oriented annotated bibliographies and thematic outlines for inclusion in syllabi. A second possibility would be to see if the Organized Section could present a limited set of panels at other annual meetings, the most likely one being the Midwest.

Both the innovations in the Newsletter and possible workshops and the like are part of our general desire to make the Organized Section both a venue for and an initiator of activities which will not only reflect what is happening in the field but provide the impulse for its development. With your help, we can achieve this goal.

## Goings

The Annual Meeting this year will mark the end of the terms of several members of the Executive Committee whose contributions were critical in the formative stages of the Organized Section. I want, in this regard to especially thank Nina Halpern, Ron Rogowski and John Freeman. Special thanks are due Russ Dalton who has been stalwart as Secretary-Treasurer and whose term extends one more year. Finally, as I leave the Presidency, to be ably replaced by Ron Rogowski, I want to thank all my colleagues on the Executive Committee for their help and indulgence. We have gotten off to a good start and my professional life as a comparativist is enhanced by the Section's activities. I look forward to contributing where I can best do so to those activities in the future.

Like most of you reading these lines, I often feel over-committed and wonder how I will ever find the time to finish the projects I foolishly agreed to complete by the end of next month. It was thus with some reluctance and trepidation that I agreed to accept Peter Lange's offer to become editor of *APSA-CP*. The fact that I did agree reflects not only Peter's persuasive powers, but also the feeling that the newsletter has the potential to develop into a publication of real value to all of us in the comparative field. In the few months during which I have worked to prepare this issue, it has become obvious that the newsletter's potential will be realized only if many of you members of the section can also try to find a little time in your busy schedules to make a contribution.

What kinds of contributions would we like? Peter made a variety of excellent suggestions in his letter, and please note that some potentially valuable submissions on his list would require little time or effort to send in. A prime example is syllabi for courses that might be of interest to a wide range of our members. If you have a syllabus for a thematic (rather than area studies) course that you think a good number of comparativist might like to see, just mail me a copy. Ideally, as Peter notes, it would be nice to receive some annotations along with the regular syllabus; send along whatever observations you have the time to write up. But the most important thing is to send something. An informal poll has shown that there is a great deal of interest in this new "Syllabi Section," so let's make it work. I would like to thank Bob Bates, David Collier, Peter Hall and Ron Rogowski for sending in the syllabi included in this issue.

As you will see, we are also launching an "Announcements Section" in this issue. Our space is limited, but we will print as many announcements of grants or conferences (upcoming or completed) related to comparativists as we can. Something that would be especially valuable would be a brief summary of the main points made in papers or debates at a recent conference that you might have organized or attended. Such summaries will help those unable to attend such a conference keep abreast of trends in the field, and will also obviously provide some added publicity for the conference. Thanks to the SSRC/ACLS, the German Marshall Fund, and the Bradley Institute for Democracy and Public Values for the submissions included in this issue.

As Peter mentioned, we hope in the future to publish a brief "Book Review Section" and a "Letters and Comments Section." Once again, the success of such sections will be entirely dependent on the willingness of members to make contributions. If you have an idea for submission, don't hesitate to write or call me to discuss what it is you are thinking of doing.

One other type of potential contribution may already be sitting on your desk: a memo or list prepared for departmental purposes that may be of interest to our readers. If your department recently prepared a list of required readings for graduate students in comparative politics, or drafted a new set of course requirements, or produced a memo regarding theoretical versus area studies training for students, just send along a copy. Often we hear of such documents through friends and find them to be quite stimulating. *APSA-CP* can help you share them with more than 800 comparativists around the country.

As we begin preparing the next issue, I will hope to hear from many of you. My final thanks regarding this issue go to Lynn Girardeau, whose technical assistance was invaluable, and the Department of Political Science at the University of Washington.

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## Letter from the Editor

John Keeler  
 University of  
 Washington

# Report on the 1990 Business Meeting

Russell Dalton  
UC, Irvine  
Secretary-  
Treasurer

The section held its annual business meeting for the membership at the 1990 meetings of the American Political Science Association. Peter Lange opened the meeting with a report on the status of the section. He noted the exceptional trajectory of the organization as a reflection of the strength of the comparative politics field and the present relevance of our subject matter. In a single year the section has grown to over eight hundred members, ranking it among the largest of APSA's organized sections.

The first year has been one of developing the basic structure and activities of the section. John Freeman (Minnesota) organized the panels allocated to our section by the APSA for the 1990 meetings; we sponsored nine panels and cosponsored seven panels with other sections or organizations. The panels reflected the diversity of our membership, ranging from studies of regime change, to the democratization process in world perspective, to formal and methodological advances in comparative politics. Robert Bates (Duke) was selected to organize the section panels for the 1991 meetings of the Association.

Another institution-building activity was to complete the selection of the executive committee for the section. Sidney Tarrow (Cornell) chaired a nominating committee that proposed candidates for the open positions on the committee. The bylaws of the section call for two-nominees for the Vice President/President-elect position, and single nominations for the other executive committee positions. Ronald Rogowski (UCLA) was elected at the business meeting as the Vice President (and thus will serve as the next president of the section); Mary Katzenstein (Cornell), Margaret Levi (University of Washington), and Kenneth Sharpe (Swarthmore) were selected to fill the open executive committee seats.

The results of the first membership survey on the activities of the section were also discussed at the business meeting. The survey was distributed with the first issue of the newsletter and asked for input on what activities the section should sponsor. There was greatest support for the production of a regular newsletter that would complement existing comparative politics publications, such as the presentation of thematic debates, short articles about the field of comparative politics, or short book notes. It was also suggested that a newsletter could provide information relevant to the teaching of comparative politics, such as annotated syllabi or bibliographies prepared by section members. The members present at the business meeting heartily endorsed the importance of the newsletter as a section activity and encouraged Peter Lange to recruit a permanent newsletter editor. In addition, the survey found substantial support for the section partially sponsoring conference activities in the comparative politics field, though these activities presently lie beyond the financial resources of the section. The members also expressed support for a program of annual awards organized by the section, such as a best article, best paper within the comparative politics section, or best book in the field. It was felt that an awards program could add both to the visibility of the section and to the best work being done in the field of comparative politics. Finally, a number of members suggested that the section could begin to sponsor additional scholarly and social opportunities for comparativists to interact at future APSA meetings.

## **Proposed Revision of the Bylaws**

At the 1990 business meeting the nominating committee for section officers reported that several possible candidates for the vice presidency position were reluctant to engage in competitive elections because they felt this did not represent academic norms of collegiality. The nominating committee also noted that competitive elections were used only for the vice president position. The committee felt this situation might diminish the pool of expertise available to the section, and proposed a revision in the bylaws of the section.

After extensive discussion of the role of democratic competition within professional associations (even ones devoted to the study of politics), those attending the annual meeting voted to submit a revision of the bylaws to the membership:

It is proposed that section C, paragraph 2 of the bylaws be revised to remove the text in bold print below:

*Following its appointment, the nominating committee should solicit the members of the Section for nominations for the Section offices to be filled that year. The nominating committee shall nominate a slate of officers that (a) is taken from the names received, particularly those persons receiving several mentions and (b) represent the diverse interests of the Section. The slate shall include single nominees for all offices to be filled with the exception of Vice President/President Elect for whom two nominations shall be made. The slate shall be distributed to the Section members so that the nominees are known prior to the Annual Section Meeting to be held in conjunction with the APSA annual meeting.*

The bylaws require the membership be polled by mail on the proposal, and that a two-thirds majority is required for passage. A copy of the revision ballot is enclosed as the last page of the newsletter and you are encouraged to vote as soon as possible.

Panels for the Organized Section in Comparative Politics at the 1992 Annual Meeting will be unified by a theoretical, methodological or thematic question but bring together papers reflecting (either individually or collectively as a panel) diverse political areas.

Examples of theoretic/methodological panels might include: the "conflict" between rational choice and cultural explanations of politics; comparative institutionalism and design of governance; applications of political psychology to comparative politics., etc.

Examples of thematic panels might include: analyses of democratization; rational (and other) explanations of ethnic conflict; the role of "ideas" in political change; comparative politics as a necessary part of analyzing international negotiations; comparative analyses of corruption; comparative analyses of repression, etc.

This might also be a good time to examine the introductory comparative politics course in a pedagogic panel, since the traditional "three systems" seem to be evaporating.

Please send your paper and panel proposals to:

W. Phillips Shively, Comparative Politics Section Head  
 Dept. of Political Science  
 University of Minnesota  
 Minneapolis, Minnesota 55455

## Call for Papers:

The Organized  
 Section at the  
 1992 APSA  
 Meeting

## Announcements

"From Leninism to Freedom: The Challenges of Democratization," is an interdisciplinary conference on the movements toward democracy and market-oriented economies in Eastern Europe, the Soviet Union, and China in the Late 1980s and early 1990s. To be held October 18-19th at Marquette University, the conference features renown economists, political scientists, sociologists, and historians, including: Marshall and Merle Goldman of Harvard, Eugene Kamenka of the Australian National University, Andrzej Korbonski of UCLA, Andrew Arato of the New School for Social Research, Barbara Jelavich and Robert Byrnes of Indiana University, Stephen Szabo of the Paul Nitze School of Advanced International Studies, and Su Shaozhi, former Director of the Institute of Marxism, Leninism, and Mao Zedong Thought of the PRC. Topics include the interrelationship of political and economic reform, the role of civil society in democratization, and the experiences of and prospects for enduring democratic and economic reform in Eastern Europe, the Soviet Union, and China. Registration is \$25. For more information or to register, contact Dr. Margaret L. Nugent, Assistant Director, The Bradley Institute for Democracy and Public Values, Room 401 Monitor Hall, Marquette University, Milwaukee, WI, 53233 (phone: 414-288-5546) Registration cannot be guaranteed after September 30th.

The Bradley Institute for  
 Democracy and  
 Public Values

## SSRC/ACLS:

## Announcement of Comparative and Transnational Seed Grants

*The presidents of the Social Science Research Council and the American Council of Learned Societies are pleased to announce six seed grants for comparative and transnational research projects. Proposals were submitted through the chairs of committees of the Councils in response to a Request for Proposals, which was issued in October, 1990. The intent of the grants is to promote cross-committee collaboration in the initiation of promising new comparative and transnational research projects. They expect to make additional grants in late spring in response to proposal revisions that were invited from the organizers of several other projects.*

## Awards

**Comparative Examination of Landed Property Rights:** \$10,000. This project identifies the question, "Who has the right to do what with the land for how long?" as being of central importance to the comparative analysis of environmental consequences of human behavior. Developments in the field will need to be guided by answers to questions such as: Are existing taxonomies of landed property systems adequate for comparative regional and global analysis, or do we need to rethink the defining characteristics of property rights in land? Is it useful to analyze human territoriality as a strategy for controlling people and things by controlling area? How do we carry out cross-cultural analyses at varying scales of space and time? The grant will support a workshop, which will involve members of the Land-Use Changes Research Consortium of the Committee for Research on Global Environmental Change, as well as representatives from committees concerned with South Asia and Eastern Europe.

*Project leader:* John F. Richards, Department of History, Duke University, Committee for Research on Global Environmental Change. *Project staff:* Richard Rockwell and David Szanton, SSRC.

**Development and Social Science:** \$10,000. This project is concerned with the genesis and impact of different conceptions of development, focusing especially on how theories of development evolve both in the academic world and in development assistance agencies. It would begin with a preliminary workshop that would bring together scholars and practitioners with field experience, and draw on resources of the Africa, Near and Middle East, and Southeast Asia Committees, among others.

*Project leader:* Randall Packard, Department of History, Tufts University, Chair, Joint Committee on African Studies. *Project staff:* Tom Lodge and Priscilla Stone, SSRC.

**Environmentalism of the Poor:** \$10,000 This project investigates the relationship between environmental conflict and the development of popular social movements. The central questions to be explored include: Is there an identifiable "environmentalism of the poor" and, if so, what are its distinctive characteristics? Can analysis of ecological and resource access and management considerations enhance our understanding of social movements? What conditions enable environmental movements of the poor to flourish and succeed? Have such movements raised the costs of what would otherwise be profitable but environmentally unsound practices? The grant will support a planning meeting in March, 1991, which will be attended by specialists on Latin America, Africa, Europe, and South Asia.

*Project leader:* Enrique Mayer, Department of Anthropology, University of Illinois, Joint Committee on Latin American Studies. *Project staff:* Eric Hershberg, SSRC.

**European Identity and Its Conceptual Roots:** \$10,000. This project seeks to address the issue of "Europe" and "European identities" by examining popular models of social incorporation, official and intellectual elaborations of identity that can lead to "transnational consciousness," and the intersection of this larger consciousness with nationalism, particularly in Eastern Europe.

*Project leaders:* Michael Herzfeld, Department of Anthropology, University of Indiana, Joint Committee on Western Europe, and Katherine Verdery, Department of Anthropology, The Johns Hopkins University, Joint Committee on Eastern Europe. *Project staff:* Yasmine Ergas, SSRC, and Jason Parker, ACLS.

**The New International Context of Development:** \$10,000. This project focuses on the implications for Third World countries of the enormous changes that are taking place in the international political economy. These include, among others, the relative decline of the United States and the rise of Japan, the growing integration of Western Europe, the collapse of the Soviet bloc and end of the Cold War, new roles for multilateral agencies, and new types of organization of production. The project aims to gather empirical data that will enable us to better understand how these changes may affect development strategies in the Third World and to explore the consequences of these changes for the utility of various theories of development. A workshop, which will include scholars from the Latin America, Korea, and Japan committees, is scheduled for May, 1991, at SSRC.

*Project leader:* Barbara Stallings, Department of Political Science, University of Wisconsin, Chair, Joint Committee on Latin America. *Project staff:* Eric Hershberg, SSRC.

**Questions of Modernity: Strategies for Post-orientalist Scholarship on South Asia and the Middle East:** \$10,000. This project builds on recent critiques of the discourse of modernity and the scholarship of Orientalism, to develop strategies for comparative research on South Asia and the Middle East. It will include members of the Joint Committees on South Asia, the Near and Middle East, and the Comparative Study of Muslim Societies. The grant will fund two workshops, which would lead to a larger international conference in 1993.

*Project leaders:* Timothy Mitchell, Department of Political Science, New York University, Joint Committee on the Near and Middle East, and Lila Abu-Lughod, Department of Anthropology, Princeton University, Joint Committee on the Comparative Study of Muslim Societies. *Project staff:* Steven Heydemann and Richard Cohen, SSRC.

## Awards

SSRC/ACLS:

## Announcement of Start-up Grants for Interim Research Planning Activities

**Joint Committee on African Studies:** \$15,000, in support of its project on "The World the Diaspora Makes: Social Science and the Reinvention of Africa." The project seeks to combine insights drawn from African studies with perspectives developed in African diaspora studies—especially African-American studies within the United States, but also studies in the Caribbean, elsewhere in the Americas, and in Europe. Bringing together researchers from these two distinctive communities will at once broaden analytic perceptions of what Africa is, while also increasing the interest and participation of U.S. minority scholars in African studies. The grant will provide partial support for a planning meeting during the summer of 1991 and a workshop in the spring of 1992. For further information contact Karen Fields, Frederick E. Douglas Institute for African and African-American Studies, University of Rochester, or Tom Lodge, SSRC.

**Joint Committee on Latin American Studies:** \$14,500, in support of its project on "Economic Liberalization and the Consolidation of Political Democracy in Latin America." In its first phase, the project will critically examine the logic and assumptions of various scholarly approaches to the relationship between liberalization and democratization and will seek to develop a new synthesis. In a subsequent phase, these ideas will be tested in a series of country studies and thematic enquiries. In a final stage, the project will focus on identifying generalizable patterns and explaining exceptions to the conventional relationships between different types of reform. For further information, contact Laurence Whitehead, Nuffield College, Oxford, or Eric Hershberg, SSRC.

**Joint Committee on the Near and Middle East:** \$10,000, in support of its project on "Bridging the Divide: Comparing North/South Models of State and Society." The project will explore the opportunities and limits of transposing models of state and society from one cultural area to another. In its first phase, the project will focus on comparative applications of specific substantive research questions in order to better understand methodological problems associated with models applied across cultural areas. The grant will support a series of "paired seminars" in which scholars of different cultural areas who have focused on similar substantive problems reflect on methodological issues that they encountered. For further information contact Joel Migdal, Jackson School of International Studies, University of Washington, or Steven Heydemann, SSRC.

*The presidents of the Social Science Research Council and the American Council of Learned Societies are pleased to announce seven start-up grants for interim research planning activities of joint area committees. Proposals were submitted by committees in response to a Request for Proposals, which was issued in October, 1990. The intent of the grants is to provide an interim mechanism to facilitate the initiation of new research activities by committees pending renewal of major core funding of our international programs. In reviewing the proposals, the presidents benefited from the advice of the professional staffs of the Councils.*

**Joint Committee on South Asia:** \$8,000, in support of the "South Asian Humanities Project." The project is designed to assess critically, over the course of three conferences, the role of western theory in formulating the questions we ask of South Asian humanistic practices. The first conference will explore the relevance to the South Asian humanities of western cultural theory. The second will address the contributions or claims of the social sciences on the South Asian humanities. And the third will assess the implications of western theory and of social-science and post-colonial problematics for the teaching of South Asian humanities in American universities. For further information, contact Sheldon Pollock, Department of South Asian Languages, University of Chicago, or Richard Cohen, SSRC.

**Joint Committee on Soviet Studies:** \$7,500, in support of its project on "Reconstructing 'Russian' History: Culture and Empire." This project is designed to stimulate new research and especially new theoretical approaches to the prerevolutionary period of imperial Russia. The project is designed as a corrective to trends in existing scholarship, both western and Soviet, which was designed to read the revolution of 1917 back into developments of the eighteenth and nineteenth centuries. It is particularly important to provide different perspectives on the period at this time, given the importance of reconstructing the past in contemporary debates about the future shape of the Soviet Union. There is little in Soviet or Western scholarship to illuminate the imperial Russian past in all its contingencies, limitations, and possibilities. The field is especially devoid of theoretical work. The grant would provide partial support for a workshop in October, 1991, to identify useful theoretical approaches and directions for research. For further information, contact Jane Burbank, Department of History, University of Michigan, or Robert Huber, SSRC.

**Joint Committee on Western Europe:** \$15,000, in support of its project on "A New Europe: Rethinking the Constructs of Collective Behavior." The project explores the changing context of collective behavior in the spheres of politics, society and the economy in contemporary Europe. This involves reevaluating the central relationships that link Europeans together, from the impact of socioeconomic change at the individual level to the operation of institutions for collective action at the macro level. A concern for equity focuses attention on the distribution of power and resources which underlies contemporary institutions; a concern for efficiency focuses attention on the capacity of those institutions to aggregate individual preference into collective decisions conducive to overall social welfare. For further information, contact Peter A. Hall, Center for European Studies, Harvard University, or Yasmine Ergas, SSRC.

## The German Marshall Fund of the United States

*The German Marshall Fund of the United States has chosen ten scholars for Research Fellowships. Each will receive awards of up to \$30,000, plus travel expenses, to support up to one year of research on economic, political, and social developments involving the United States and Europe.*

*The Research Fellows were selected from a pool of 90 applicants by a panel composed of Barry Eichengreen, Department of Economics, University of California at Berkeley; J. Rogers Hollingsworth, Departments of History and Sociology, University of Wisconsin, Madison; and Alberta Shragia, Director West European Studies, University of Pittsburgh.*

*The Fellows and their projects are:*

**Daniel Barbezat, Department of Economic, Amherst College.** The research will extend an analysis of inter-war European industrial cooperation to the 1950s with a study of the European Coal and Steel Community, using the archives of the community.

**Michael Burda, INSEAD, European Institute of Business Administration** The project uses the German Socioeconomic Panel (a representative survey of German households) to investigate a variety of issues involving German labor markets, including the relative structure of wages across industries, labor force dynamics, and the determinants of migration patterns of East Germans following unification.

**Gary Cross, Department of History, Pennsylvania State University.** The project explores the historical debate over the distribution of growth between reduced work time and increased consumption and explains the emerging preference for consumption in Britain, France, and the U.S. Various intellectual, policy, and social perspectives will be brought to this analysis of the origins of consumerism.

**Geoffrey Giles, Department of History, University of Florida.** The research involves an historical analysis of alcohol, drug and sex education in Britain, France, and Germany from the mid-nineteenth century, providing fresh perspectives for current efforts on substance abuse and AIDS prevention.

**Gary Herrigel, Department of Political Science, University of Chicago.** The book Herrigel will write seeks to refute the prevailing view of the institutional structure and evolution of the German political economy and to provide a coherent, alternative account. Industrial districts dominated by small- and medium-sized firms play a central role in the alternative account.

**Young Sun Hong, Department of History, California State University, Fullerton** This work examines the way conflict among social groups holding competing perspectives of gender roles and family structure determined the relation between state and civil society and provided the framework for the development of the modern, professional welfare system in Germany from 1890 to 1930.

**Kenneth Rogoff, Department of Economics, University of California at Berkeley.** Exchange rates are central to many international economic issues, but they are notoriously difficult to model empirically. This project will employ modern intertemporal macroeconomics to develop a more robust empirical model, which will be applied to a number of issues involving international policy coordination.

**George Ross, Department of Sociology, Brandeis University.** The institutional and personal elements of Jacques Delor's presidency of the European Community and his cabinet will be analyzed through the unfolding of a number of policy developments—the Intergovernmental Conferences on Economic and Monetary Union and Political Union, industrial policy, recasting the Community's budget, reforming Community agricultural policy—using tools of participant observation, extensive interviewing and documentary review.

**Frederick Well, Department of Sociology, Louisiana State University.** How strong is public support for democracy in a united Germany? Based on new opinion surveys, this research investigates the effects of the party system and coalition forms, German history, Western influence on the East, nationalism and neutralism, economic factors, ethnic prejudice, and political socialization.

**Richard Wolin, Department of History, Rice University.** In the 1980s German historians—and the nation itself—were involved in a number of provocative controversies concerning the centrality of National Socialism for understanding Germany's past—and, by implication, its future. Wolin intends to explore questions of German national identity using these historical controversies as his point of departure.



## 1991 APSA Panels—

### Organized Section in Comparative Politics: Preliminary Program

#### Section 22. Comparative Politics

Chair: Robert H. Bates, Duke University

- |       |  |                |
|-------|--|----------------|
| 22-1  | Roundtable on American Politics<br>The Last Great Area Study?                                | Fri 3:30 p.m.  |
| 22-2  | Constitutionalism and Development  | Sat 1:30 p.m.  |
| 22-3  | Comparative Theory and Area<br>Studies   | Sun 10:45 a.m. |
| 22-4  | The Internationalization of Social<br>Movements  | Sat 10:45 a.m. |
| 22-5  | Rules, Rationality, and Garbage<br>Cans: The New Institutionalism in<br>Comparative Analysis | Fri 10:45 a.m. |
| 22-6  | The Politics of Environmentalism   | Thu 1:30 p.m.  |
| 22-7  | Transitions from Single Party Rule   | Sat 8:45 p.m.  |
| 22-8  | Stability and Development:<br>Questions in Comparative Political<br>Economy                  | Fri 1:30 p.m.  |
| 22-9  | National Models Versus<br>Subnational Politics   | Thu 8:45 a.m.  |
| 22-10 | Sectoral Conflict and Politics of<br>Underdeveloped Countries                                | Thu 3:30 p.m.  |
| 22-11 | Theory and Methodology of<br>Comparative Politics  | Fri 8:45 a.m.  |
| 22-12 | Roundtable on Comparative<br>Political Method and the Study of<br>Women and Politics         | Fri 10:45 a.m. |

#### 22-1 Roundtable on American Politics: The Last Great Area Study?

Chair John R. Freeman, University of Minnesota  
Morris Fiorina, Harvard University  
Donald R. Matthews, University of Washington  
John Sullivan, University of Minnesota

#### 22-2 Constitutionalism and Development

Chair Richard L. Sklar, University of California, Los Angeles

Papers "Changing Conceptions of Constitutionalism in the  
United States."

David Gray Adler, Idaho State University

"Where Does 'The Other Path' Lead? The Informal  
Sector and Constitutional Governance in Peru."

David G. Becker, Dartmouth College

"Constitutionalism, Ethnic Coordination and National  
Development: Selected South Asian Cases"

Jyotindra DasGupta, University of California, Berkeley

"Constitutionalism: Genetic Code for Restoring African  
Polity"

Coble A. Harris, San Jose State University

Disc Richard Sklar, University of California, Los Angeles

#### 22-3 Comparative Theory and Area Studies

Chair Ronald Rogowski, University of California, Los Angeles

Papers "The Tower of Babel as a Coordination Game: The  
Case of Ghana"

David Laitin, University of Chicago

"Collective Action in Revolution: The Case of Lithuania  
Resistance"

Roger Peterson, University of Chicago

"Elections and Economic Crisis in Latin America"

Karen L. Remmer, University of New Mexico

Disc Ronald Rogowski, University of California, Los Angeles

#### 22-4 The Internationalization of Social Movements

Chair Aristide Zolberg, New School for Social Research, and  
Sidney Tarrow, Cornell University

Papers "The International Diffusion of Labor Protest"

Giovanni Arrighi and Beverly Silver, State University of  
New York at Binghamton

"The International Norms and Social Movement

Mobilization: The Helsinki Accords and Human Rights  
Movements in Eastern Europe"

Dan Thomas, Cornell University

"1960-1990: The Universalization of Protest"

Sidney Tarrow, Cornell University

Disc Charles Tilly, New School for Social Research

Aristide Zolberg, New School for Social Research

#### 22-5 Rules, Rationality, and Garbage Cans: The New Institutionalism in Comparative Analysis

Chair Shaheen Mozaffar, Bridgewater State College

Papers To Be Announced

Barbara Geddes, University of California, Los Angeles

"The Formation of Party Systems in Eastern Europe"

Herbert Kitschelt, Duke University

"Norm-Producing Institutions"

Margaret Levi, University of Washington

"Rules, Rationality and Garbage Cans: Understanding  
Governance in Africa"

Shaheen Mozaffar, Bridgewater State College

Disc Atul Kohli, Princeton University

To Be Announced

#### 22-6 The Politics of Environmentalism

Chair Sylvia Tosh, Yale University

Papers "Environment and Development: A Brazilian Case Study"

Margaret Keck, Yale University

"Tense Unity: The Politics of Environment/Equity Linkages in the United States and Canada"

Robert Paehkle, Trent University

"Conflict and Cooperation within Environmentalism"

Sylvia Tosh, Yale University

*Disc* To Be Announced

## 22-7 Transitions from Single Party Rule

*Chair* Joseph L. Kiesner, Kenyon College

*Papers* "Transitions to Democracy and the Politics of Economic Integration: The Cases of Poland and Mexico"

Judith Gentleman, University of New Hampshire, and  
Voytek Zubek, University of Alabama, Birmingham

"Liberalization in One-Party Hegemonic Regimes: Actors and Processions in Mexico and Taiwan"

Joseph L. Kiesner, Kenyon College

"The Transition from United Opposition to Pluralist Political Parties in Poland and Nicaragua"

Elizabeth P. Coughlan, University of Indiana

To Be Announced

Emerson Niou, Duke University

*Disc* To Be Announced

## 22-8 Stability and Development: Questions in Comparative Political Economy

*Chair* Thomas D. Lancaster, Emory University

*Papers* "Rational Expectations Under Political Uncertainty"  
R. Michael Alvarez, Brian Loynd, and Peter Lange,  
Duke University

"Testing the Statist Perspective on Development: A Time Series Analysis of Rapid Economic and Social Change in Taiwan"

Cal Clark, University of Wyoming, and  
Steve Chan, University of Colorado

"Internal and External Effects on Economic Growth in Africa"

Michael Lofchie and John Quinn, University of California,  
Los Angeles

"Interest Groups and Economic Growth, A Test of Olson's Theory: The Costa Rican Experience"

Michelle M. Taylor, Texas A & M University

*Disc* Courtney Brown, Emory University

## 22-9 National Models Versus Subnational Politics

*Chair* Robert Fishman, Harvard University

*Papers* "Industrial Districts and Political Change: Redefining the Relation between Local and National Governments in West Germany"

Gary Herrigel, University of Chicago

"Re-Conceptualizing Industrial Relations in Western Europe: A Micro-Political Approach"

Richard M. Locke, MIT

"National Versus Regional Models of Party Building and Development: The French Socialist Party"

Serenella Sterza, Washington University

"The Local Politics of the Welfare State: Sweden, France, and the German Federal Republic"

Ellen M. Immergut, MIT

*Disc* Herbert Kitschelt, Duke University

## 22-10 Sectoral Conflict and Politics of Underdeveloped Countries

*Chair* Atul Kohli, Princeton University

*Papers* "Privatization and Sectoral Conflict in Mexico"  
Helge Baltenmann, New School for Social Research

"Imagining Capitalists: The Study of Business and Politics in the Middle East"

Robert Vitalis, Princeton University

"Explaining Foreign Intervention in Africa: Towards a Business Conflict Model"

David Gibbs, University of Arizona

"The Role of US Policy Makers and Corporate Elites in the Development Policies of the Central American Common Market"

Ronald M. Cox, University of Wisconsin, Madison

*Disc* Atul Kohli, Princeton University

## 22-11 Theory and Methodology of Comparative Politics

*Chair* Norman Schofield, Washington University

*Papers* "Structure and Practice in Comparative Research"  
Roger Benjamin and Raymond Duvall, University of Minnesota

"Expectations and Informal Rules in Coalition Formation"  
Carol Mershon, Washington University

"Expected Utility Models of Coalition Formation"  
Francois Petry, University of Manitoba

"Remodelling Revolution as Sell Out, No Sell Out"  
Glen Stephens, University of California, Los Angeles

*Disc* Anne Sholtz-Vogt, Washington University

## 22-12 Roundtable on Comparative Political Method and the Study of Women and Politics

Co-sponsored by "Women and Politics" Panel 18-2

*Chair* Karen Beckwith, The College of Wooster

Naomi Black, York University

Mervat Hatam, Howard University

Jane Jaquett, Occidental College

Mae King, Howard University

Barbara Nelson, University of Minnesota

Michelle Saint-Germain, University of Arizona

Hamideh Sedghi, Hobart and William Smith Colleges

## Course Syllabi

### The New Institutionalism

Political Science 398.54

R. H. Bates, Duke University

*The course is an informal seminar in which we explore the boundaries between rational choice theory—in particular, game theory, information economics, and principal-agent theory—and the behavioral study of organization.*

#### Week One: An Introduction: Part I

##### Required Readings:

Terry Moe, "The New Economics of Organization," *AJPS* 28 (1984): 739-77.

Peter C. Ordeshook, "The Emerging Discipline of Political Economy," in James E. Alt and Kenneth A. Shepsle, *Perspectives on Positive Political Theory*.

David M. Kreps, "Corporate Culture and Economic Theory," in James E. Alt and Kenneth A. Shepsle, *Perspectives on Positive Political Theory*.

##### Suggested Readings:

James Quirk, "A Beginner's Introduction to the Principal-Agent Literature," CalTech Working Paper.

Mathematical notes on P-A models.

James C. March and Johan P. Olson, "The New Institutionalism: Organizational Factors in Political Life," *APSR* 78 (1984): 734-749.

Kenneth Arrow, "Limited Knowledge and Economic Analysis," *AER* 64 1 (March 1974): 1-10.

#### Week Two: The Transactions Cost Approach

Thrainn Eggertsson, *Economic Behavior and Institutions*, Part I, chapter 5, Part IV.

Paul Milgrom and John Roberts, "Bargaining, Influence Costs, and the Organization of Economic Activity," in James E. Alt and Kenneth A. Shepsle, *Perspectives on Positive Political Theory*.

#### Week Three: The Property Rights Paradigm: Part I

##### Required Readings:

Gary Liebcap, *Contracting for Property Rights*.

James Quirk, "Unassigned Property Rights and External Effects."

##### Suggested Readings:

Harold Demsetz, "The Costs of Transacting," *Quarterly Journal of Economics* 88 (February 1968): 33-53.

Ronald Coase, "The Problem of Social Cost," *Journal of Law and Economics* III (October 1960):1-40.

A. A. Alchian and A. Demsetz, "The Property Rights Paradigm," *Journal of Economic History* 33 (March 1973): 16-27.

Harold Demsetz, "Toward a Theory of Property Rights," *AER* 57 1 (March-June 1967):347-372.

Harold Demsetz, "When Does the Rule of Liability Matter?" *Journal of Legal Studies* 1 (January 1972):13-28.

Garret Hardin, "The Tragedy of the Commons," *Science* 162 (13 December 1968): 1243-8.

John Umbeck, "A Theory of Contractual Choice and the California Gold Rush," *Journal of Law and Economics* 21 (1981):421-37.

John C. McManus, "An Economic Analysis of Indian Behavior in the North American Fur Trade," *Journal of Economic History* 32 (1972):36-53.

#### Week Four: The Property Rights Paradigm, Part II

##### Required Readings:

Yoram Barzel, *Economic Analysis of Property Rights*.

##### Suggested Readings:

Oliver Hart, "Incomplete Contracts and the Theory of the Firm."

S. N. Cheung, *The Theory of Share Tenancy* (Chicago: University of Chicago Press, 1969)

Terry L. Anderson and P. J. Hill, "The Evolution of Property Rights: A Study of the American West," *Journal of Law and Economics* 18 (1975): 163-179.

Gary Liebcap, "Economic Variables and the Development of the Law: The Case of Western Mineral Rights," *Journal of Economic History* 38 2 (June 1978):338-362.

#### Week Five: An Application

##### Required Readings:

Elinor Ostrom, *Governing the Commons: The Evolution of Institutions for Collective Action*.

#### Week Six: Another Application

##### Required Readings:

Paul Collier and Deepak Lal, *Labour and Poverty in Kenya, 1900-1980*.

**Week Seven: The Theory of the Firm***Required Readings:*

Thrainn Eggertsson, *Economic Behavior and Institutions, Part III*.

Louis Putterman, "Overview"; Armen Alchian and Harold Demsetz, "Production, Information Costs, and Economic Organization"; Michael Jenson and William Meckling, "Theory of the Firm: Managerial Behavior, Agency Costs, and Ownership Structure;" and Benjamin Klein, Robert Crawford, and Armen Alchian, "Vertical Integration, Appropriable Rents, and the Competitive Contracting Process" in the *Economic Nature of the Firm: A Reader*, ed. Louis Putterman.

*Suggested Readings:*

Gary J. Miller, "Administrative Dilemmas: The Role of Political Leadership," Political Economy Working Paper, School of Business and Center in Political Bureau, June 1987.

Oliver Williamson, *The Economic Institutions of Capitalism* (N.Y.: The Free Press, 1985).

Oliver Williamson, "The Economics of Organization: The Transaction Cost Approach," *AJS* 87 3 (1981):548-577.

Paul Joskow, "Contract Duration and Durable Transaction Specific Investments: The Case of Coal," April 1986.

**Week Eight: A Political Institution: The United States Congress, Part I.***Required Readings:*

Charles Stewart III, *Budget Reform Politics*.

John Ferejohn and Keith Krehbiel, "The Budget Process and the Size of the Budget."

**Week Nine: A Political Institution: The United States Congress, Part II***Required Readings:*

McCubbins and Sullivan, eds., *Congress*.

*Suggested Readings:*

Keith Krehbiel, "Spatial Models of Legislative Choice."

**Week Ten: An Application to the Bureaucracy***Required Readings:*

Terry Moe, "The New Economics of Organization," *AJPS* 28 (1984): 758-777.

Terry Moe, "Political Institutions: The Neglected Side of the Story," *ibid*.

William Niskanen, *Bureaucracy and Representative Government*, pp. 1-77.

Gary Miller and Terry Moe, "Bureaucrats, Legislators, and the Size of Government," *APSR* 77 2(June 1983):297-322.

Thomas Hammond, Jeffery Hill, and Gary Miller, "Presidents, Congress, and the Congressional Control of Administration Hypothesis."

Terry Moe, "The Politics of Structural Choice."

*Suggested Readings:*

Gary Miller, "Bureaucratic Compliance as a Game on the Unit Square," *Public Choice* 29 (1977): 37-52.

**Week Eleven: Another Application to the Bureaucracy**

Kenneth Arrow, "The Limits of Organization."

Mary Douglas, *How Institutions Think*.

**Week Twelve: An Application to Development**

Alice H. Amsden, *Asia's Next Giant*.

Robert Wade, *Governing the Market*.

**Weeks Thirteen and Fourteen**

Student reports.

## Relations Between External and Internal Politics

Political Science 235

Ronald Rogowski, University of California, Los Angeles

*The readings are grouped loosely by topic and the course proceeds in the sequence in which the topics are listed. Exact pace within topics depends on participant's tastes and capacities. Each participant must write a modest, but thorough paper on some agreed topic related to the general rubric of the seminar.*

### I. War and Domestic Politics: Historical Studies

Otto Hintze, *Historical Essays*, chapters 4 and 5.

Lynn White, *Medieval Technology and Social Change*, chapter 1.

Michael Roberts, *Essays in Swedish History*, chapter 7.

Edward Ames and Richard Rapp, "The Birth and Death of Taxes," *J. Econ. Hist.*, 37 (1977):161-178.

Karen Rasler and William Thompson, "War Making and State Making," *APSR*, 79 (1985):491-507.

### II. Trade and Domestic Politics: Historical Studies

Henri Pirenne, *Mohammed and Charlemagne*, sections to be assigned.

Hans Rosenberg, "Political and Social Consequences of the Great Depression of 1873-1896 in Central Europe," *Econ Hist. Rev.*, 13 (1943):58-73.

Peter Gourevitch, "International Trade, Domestic Coalitions, and Liberty: Comparative Responses to the Crisis of 1873-1896," *J. Interdisc. Hist.*, 8 (1977):281-313.

David Cameron, "The Expansion of the Public Economy," *APSR*, 72:1243-1261.

Cynthia Hody, "From Protection to Liberalism: Institutional Changes and the Politics of American Trade Policy," Ph.D. dissertation, UCLA, 1986, chapter 1.

### III. Interactions Among War, Trade, and Domestic Politics: Historical Studies.

W. G. Forrest, *The Emergence of Greek Democracy, 800-400 B.C.*, chapters 3, 4, and 6-8.

Rogowski, draft chapter on "War and Trade in Ancient Greece."

Alexander Gerschenkron, *Bread and Democracy in Germany*, chapter 1.

Eckart Kehr, *Battleship Building and Party Politics in Germany, 1894-1901*.

David Abraham, *The Collapse of the Weimar Republic*, chapter 1.

### IV. Some Basic Theory of International Trade

Edward Leamer, *Sources of International Comparative Advantage*, chapter 1.

Bertill Ohlin, *Interregional and International Trade*, chapter 1.

Eli Heckscher, "The Effects of Foreign Trade on the Distribution of Income," in *AEA, Readings in the Theory of International Trade*, pp. 272-301.

Wolfgang Stolper and Paul Samuelson, "Protection and Real Wages," *Rev. Econ. Stud.*, 9 (1941):58-73; reprinted in *Readings in the Theory of International Trade*, chapter 15.

Paul Samuelson, "International Trade and the Equalization of Factor Prices," *Econ. J.*, 58 (1948): 163-184.

*Idem*, "International Factor-Price Equalization Once Again," *Econ. J.*, 59 (1949), pp. 181-197.

T. M. Rybczynski, "Factor Endowments and Relative Commodity Prices," *Economica*, n. s. 22 (1955): 336-341.

Robert Mundell, "International Trade and Factor Mobility," *AER*, 47 (1957): 321-335.

### V. The Basic Literature on Rent-seeking

Anne Krueger, "The Political Economy of the Rent-Seeking Society," *AER*, 64 (1974): 291-303.

Buchanan, Tollison, and Tullock, *Toward a Theory of the Rent-Seeking Society*, sections to be assigned.

John Conybeare, "The Rent-seeking State and Revenue Diversification," *World Politics*, 35 (1982): 25-42.

### VI. The Search for a Theoretical Synthesis.

Peter Gourevitch, "The Second Image Reversed," *IO*, 32 (1978): 881-912.

James Kurth, "Industrial Change and Political Change," in Collier, ed., *The New Authoritarianism in Latin America*, chapter 8.

Douglas North, *Structure and Change in Economic History*.

Richard Rosecrance, *The Rise of the Trading State*.  
Ronald Rogowski, *Commerce and Coalition*, two theoretical chapters.

## The Development of the Modern State

### Historical Studies A-72

Thomas Ertman, Peter Hall, and Stanley Hoffmann,  
Harvard University

*The object of this course is to examine the development and the configuration of the modern state. It focuses on the cases of Britain, France and Germany and we review the political history of these nations. We examine the origins of the modern state and compare its form and functioning to those of the political institutions that preceded it. We pay particular attention to the course of events at critical moments for state-building and, in particular, those associated with war, industrialization, democratic revolution, mass enfranchisement and the rise of fascism. We hope that such an enquiry will illuminate features of the modern state that might otherwise be taken for granted today. Along with the development of the state the course considers the development of modern politics and the factors that lie behind it, such as the evolution of political ideas, the clash of material interests, and institutional change.*

### I. Introduction

#### Lecture Topic:

1. Issues in State Building and the Nature of the State.

#### Required Readings:

Otto Hintze, "The State in Historical Perspective" in Reinhard Bendix, et al., eds., *State and Society* (Berkeley: University of California Press, 1973), pp. 154-69.

Bertrand Badie and Pierre Birnbaum, *The Sociology of the State*. (Chicago: University of Chicago Press, 1983), pp. 3-64.

#### Additional Reading:

Charles Tilly, "Reflections on the History of European State-Making" in Charles Tilly, ed., *The Formation of National States in Western Europe*. (Princeton: Princeton University Press, 1975), pp. 3-83.

Samuel P. Huntington, *Political Order in Changing Societies*. (New Haven: Yale University Press, 1968), esp. pp. 93-139.

Samuel H. Beer, *Modern Political Development*. (New York: Random House, 1974), especially pp. 58-81.

Kenneth Dyson, *The State Tradition in Western Europe*. (Oxford: Martin Robertson, 1980).

A.P. D'Entreves, *The Notion of the State*. (Oxford: Clarendon Press, 1967).

## II. The Beginnings of European State-Building

#### Lecture Topics:

1. The Decline of the Ancient World and the Birth of Medieval Europe.
2. Feudalism, Kingship and Empire in the Early Middle Ages.

#### Required Readings:

Joseph Stayer, "Feudalism in Western Europe"; Otto Hintze, "The Nature of Feudalism"; Otto Burnner, "Feudalism: The History of a Concept"; Walter Schlesinger, "Lordship and Follower..."; Jean-Francois Lemarignier, "Political and Monastic Structures..."; and Karl Bosl, "Ruler and Ruled in the German Empire..." all from Frederic Cheyette, ed., *Lordship and Community in Medieval Europe*. (NY: Holt, Rinehart and Winston, 1968), pp. 12-127, 357-75.

#### Background Reading:

By the end of week 3, students will be expected to have read Maurice Keen, *The Pelican History of Medieval Europe*. (Harmondsworth: Penguin, 1968). During weeks 4 and 5, they should read H. B. Koenigsberger, *Early Modern Europe 1500-1789*. (London: Longman, 1987).

#### Additional Reading:

Marc Bloch, *Feudal Society*. (Chicago: University of Chicago Press, 1961), pp. 3-71, 145-279, 359-437.

George Duby, *The Three Orders: Feudal Society Imagined*. (Chicago: University of Chicago, 1980).

## III. Alternative Power Centers in Medieval Europe: The Church and the City-State

#### Lecture Topics:

1. The Church and State-Building.
2. The City State and its Legacy.

#### Required Reading:

Max Weber, *The City*. (New York: Free Press, 1966), pp. 91-164, 172-230.

#### Additional Reading:

R. W. Southern, *Western Society and the Church in the Middle Ages* (Harmondsworth: Penguin, 1970).

Walter Ullmann, *A Short History of the Papacy in the Middle Ages*. (London: Methuen, 1970), pp. 91-332.  
Daniel Waley, *The Italian City-Republics*. (New York: McGraw Hill, 1969).

## IV. War and the Rise of Absolutism

#### Lecture Topics:

1. War and the Emergence of Representative Institutions.
2. War and the Decline of Representative Institutions.

**Required Reading:**

A. R. Myers, "The Parliaments of Europe and the Age of the Estates," *History*, 60, 198 (February, 1975), pp. 11-27.

Otto Hintz, "Military Organization and the Organization of the State" in Felix Gilbert, ed., *The Historical Essays of Otto Hintze*. (New York: Oxford University Press, 1975), pp. 178-215.

Perry Anderson, *Lineages of the Absolutist State*. (London: Verso, 1979), pp. 7-42, 85-142, 397-431.

**Additional Reading:**

G. L. Harris, "War and the Emergence of the English Parliament, 1297-1360," *Journal of Medieval History*, 2, 1 (March 1976) pp. 35-56.

Otto Hintze, "The Preconditions of Representative Government..." in Felix Gilbert, ed., *The Historical Essays of Otto Hintze*. (New York: Oxford University Press, 1975), pp. 302-56.

Geoffrey Parker, *The Military Revolution*. (Cambridge: Cambridge University Press, 1988), pp. 6-81.

Aristide Zolberg, "Strategic Interaction and the Formation of Modern States: France and England" in Ali Kazancigil, ed., *The State in Global Perspective*. (London: Gower, 1986), pp. 72-106.

**V. The European State on the Eve of the French Revolution****Lecture Topics:**

1. Varieties of Absolutism.
2. An Alternative to Absolutism: 18 Century Britain.

**Required Reading:**

Perry Anderson, *Lineages of the Absolutist State*. (London: Verso, 1979), pp. 195-220 and 236-278.

Koenraad Swart, "The Sale of Public Offices" in Arnold Heidenheimer, Michael Johnston and Victor LeVine, eds., *Political Corruption*. (New Brunswick: Transaction Books, 1989), pp. 87-99.

Otto Hintz, "The Commissary and His Significance..." in Felix Gilbert, ed., *The Historical Essays of Otto Hintze*. (New York: Oxford University Press, 1975), pp. 267-301.

Henry Roseveare, *The Treasury: The Evolution of an Institution*. (New York: Columbia University Press, 1969), pp. 57-83.

**Additional Reading:**

Robert Harding, "Corruption and the Moral Boundaries of Patronage in the Renaissance" in Guy Fitch Lyle and Stephen Orgel, eds., *Patronage in the Renaissance* (Princeton: Princeton University Press, 1981), pp. 47-65.

John Brewer, *The Sinews of Power*. (New York: Knopf, 1969).

Hans Rosenberg, *Bureaucracy, Aristocracy and Autocracy*. (Cambridge: Harvard University Press, 1958).

**VI. The French Revolution and the Emergence of Democracy****Lecture Topics:**

1. The Paradox of Change without Change: The Revolution and its Effects in France.
2. Model or Nemesis: The Effects of the Revolution at Large.

**Required Reading:**

Alexis de Tocqueville, *The Old Regime and the French Revolution*. (New York: Anchor Books, 1955), Part I, chs. 1-5; Part II, chs. 1-6; Part III, chs. 6-8, namely pp. 1-72 and 188-211.

R. R. Palmer, *The World of the French Revolution*. (New York: Harper and Row, 1971), chs. 1-4, 10, namely pp. 11-131 and 251-70.

Francois Furet, *Interpreting the French Revolution*. (New York: Cambridge University Press, 1981), Part I.

**Additional Reading:**

Barrington Moore, Jr., *The Social Origins of Dictatorship and Democracy*. (Boston: Beacon, 1968), pp. xi-xviii, 40-110, 413-432.

Theda Skocpol, *States and Social Revolutions*. (New York: Cambridge University Press, 1981), ch. 1, pp. 47-67, 99-128, 140-44, 174-205.

Simon Schama, *Citizens*. (New York: Alfred Knopf, 1989), especially the epilogue.

D. G. Wright, *Revolution and Terror in France 1789-1795*. (London: Longman, 1974).

**VII. Economic Change and the State****Lecture Topics:**

1. The Impact of the State on Economic Change.
2. The Impact of Economic Change on the State.

**Required Reading:**

R. R. Palmer and Joel Colton, *A History of the Modern World since 1815*. Sixth Edition. (New York: Alfred Knopf, 1983), chs. 52, 56, 57.

Barry Supple, "The State and the Industrial Revolution, 1700-1914" in Carlo M. Cipolla, ed., *The Fontana Economic History of Europe: The Industrial Revolution*. (London: Fontana/Collins, 1973), pp. 301-57.

James Kurth, "The Political Consequences of the Product Cycle: Industrial History and Political Outcomes," *International Organization*, 33, (Winter, 1979), pp. 1-34.

**Additional Reading:**

Alexander Gerschenkron, "Economic Backwardness in Historical Perspective" in Gerschenkron, *Economic Backwardness in Historical Perspective*. (Cambridge: Harvard University Press, 1966).

Tom Kemp, *Industrialization in Nineteenth Century Europe*. (London: Longman, 1969).

David Landes, *The Unbound Prometheus*. (Cambridge: Cambridge University Press, 1969).

Sidney Pollard, *Peaceful Conquest: The Industrialization of Europe 1760-1970*. (New York: Oxford University Press, 1981).

### VIII. State-Building and the Construction of Consent

#### Lecture Topics:

1. The Challenges of 19th Century Political Conflict
2. The National Institutions and the Construction of Consent

#### Required Reading:

R. R. Palmer and Joel Colton, *A History of the Modern World since 1815*. Sixth Edition. (New York: Alfred Knopf, 1983), chs. 58, 60, 61, 62, 65, 74, 75.

T. H. Marshall, "Citizenship and Social Class" in T. H. Marshall, *Class, Citizenship and Social Development*. (New York: Doubleday/Anchor, 1965), pp. 71-134.

Harold Perkin, *The Origins of Modern English Society 1780-1880*. (London: Routledge and Kegan Paul, 1969), chs. 8 and 9.

James J. Sheehan, "Conflict and Cohesion Among German Elites in the Nineteenth Century" in James Sheehan, ed., *Imperial Germany*. (New York: Franklin Watts, 1976), pp. 62-92.

Roger McGraw, *France 1815-1914: The Bourgeois Century*. (London: Fontana, 1983), ch. 5, namely pp. 157-206.

#### Additional Reading:

David Blackbourn and Geoff Eley, *The Peculiarities of German History*. (New York: Oxford University Press, 1984).

Theodore Zeldin, *The Political System of Napoleon III*. (New York, Norton, 1958).

Peter Stansky, ed., *The Victorian Revolution*. (New York: Franklin Watts, 1973).

Gabriel Almond, et al., eds., *Crisis, Choice and Compromise*. (Boston: Little Brown, 1976).

### IX. Political Organization and the Emergence of Mass Politics

#### Lecture Topics:

1. The Construction of Political Parties
2. Organized Interests, Mass Movements and the State

#### Required Reading:

Charles Maier, "Fictitious Bonds...of Wealth and Law: On the Theory and Practice of Interest Representation" in Suzanne Berger, ed., *Organizing Interests in Western Europe*. (New York: Cambridge University Press, 1981), pp. 27-62.

Seymour Martin Lipset and Stein Rokkan, *Party Systems and Voter Alignments*. (New York: Free Press, 1967), pp. 1-64.

#### Additional Reading:

Martin Shefter, "Party and Patronage: Germany, England and Italy," *Politics and Society* (1977), pp. 403-51.

Samuel Beer, *Modern British Politics*. (New York: Norton, 1982); also published as *British Politics in the Collectivist Age*.

Sanford Elwitt, *The Third Republic Defended: Bourgeois Reform in France, 1880-1914*. (Baton Rouge: Louisiana State University Press, 1986).

Richard J. Evans, ed., *Society and Politics in Wilhelmine Germany*. (London: Croom Helm, 1978).

### X. Nationalism, Imperialism and the State

#### Lecture Topics:

1. Nationalism and Allegiance in 19th Century Europe
2. From Nationalism to Imperialism: Military or Social?

#### Required Reading:

R. R. Palmer and Joel Colton, *A History of the Modern World since 1815*. Sixth Edition (New York: Alfred Knopf, 1983), chs. 77, 78, 81, 82.

Hannah Arendt, *The Origins of Totalitarianism*. (New York: Harcourt, Brace and Co., 1951), chs. 5, 7, 8, namely pp. 123-57 and 185-265.

Eugen Weber, *Peasants into Frenchmen*. (Stanford: Stanford University Press, 1976), chs. 12, 17, 18, namely pp. 195-220 and 292-338.

Hans Ulrich Wehler, "Bismarck's Imperialism, 1862-1890" in James Sheehan, ed., *Imperial Germany*. (New York: Franklin Watts, 1976), pp. 180-222.

Bernard Semmel, *Imperialism and Social Reform*. (London: Allen and Unwin, 1960), ch1, namely pp. 13-28.

#### Additional Reading:

Michael Doyle, *Empires*. (Princeton: Princeton University Press, 1980).

Avner Offer, "Empire and Social Reform," *Historical Journal*. (1983) pp. 119-138.

Hans Kohn, *Nationalism: Its Meaning and History*. (Princeton: Princeton University Press, 1955).

A. D. Smith, *Theories of Nationalism*. (London, 1971).



- B. Anderson, *Imagined Communities: Reflections on the Origin and Spread of Nationalism*. (London, 1983).

### Fascism: The Pathology of Mass Politics

#### Lecture Topics:

1. The Challenges of Mass Society and the Breakdown of Democracy
2. Fascism and the Totalitarian State

#### Required Reading:

Hannah Arendt, *The Origins of Totalitarianism*. (New York: Harcourt, Brace and Co., 1951), chs. 10-12, namely pp. 301-428.

Paolo Farneti, "Social Conflict, Parliamentary Fragmentation, Institutional Shift and the Rise of Fascism: Italy" and M. Rainer Lepsius, "From Fragmented Party Democracy to Government by Emergency Decrees and National Socialist Takeover: Germany," both of which are in Juan Linz and Alfred Stepan, eds., *The Breakdown of Democratic Regimes: Europe*. (Washington: Johns Hopkins University Press, 1978), chs. 1 and 2, namely pp. 3-79.

#### Additional Reading:

Franz Neumann, *Behemoth: The structure and Practice of National Socialism*. (New York: Oxford University Press, 1944).

Martin Broszat, *The Hitler State*. (New York: Longman, 1981).

Walter Laqueur, ed., *Fascism: A Reader's Guide*. (Berkeley: University of California Press, 1976).

Karl Dietrich Bracher, *The German Dictatorship*. (New York: Praeger, 1970).

## XII. Conclusion: The State in the Years After World War II.

#### Lecture Topics:

1. The Keynesian Welfare State and its Limits
2. The State and International Interdependence

#### Required Reading:

Claus Offe, "Competitive Party Democracy and the Keynesian Welfare State: Factors of Stability and Disintegration," *Policy Sciences*, 15, (1983), pp. 225-46; also reprinted in Tom Ferguson and Joel Rogers, eds., *The Political Economy*. (New York: M.E. Sharpe, 1984) and in Claus Offe, *Contradictions of the Welfare State*. (Cambridge: MIT Press, 1984), pp. 179-206.

Michel Crozier et al., *The Crisis of Democracy*. (New York: New York University Press, 1975), chapter by Crozier, pp. 11-57.

Stanley Hoffmann, "A New World and Its Troubles," Susan Strange, "The Name of the Game" and William H. McNeil, "Winds of Change," all in Nicholas X. Rizopoulos, ed., *Sea*

*Changes: American Foreign Policy in a World Transformed*. (New York: Council on Foreign Relations Press, 1990), pp. 163-203 and 238-92.

Stanley Hoffmann and Robert Keohane, "European Community Politics and Institutional Change."

#### Additional Reading:

Derek W. Urwin, *Western Europe since 1945: A Political History*. Fourth Edition. (New York: Longman, 1981).

Andrew Shonfield, *Modern Capitalism*. (New York: Oxford University Press, 1969).

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Richard Scase, ed., *The State in Western Europe*. (New York: St. Martin's Press, 1980).

## Seminar on Comparative Method

Political Science 235

David Collier, University of California, Berkeley

*For the purpose of this seminar, "comparative method" is defined as a set of procedures for comparing and analyzing relatively small numbers of cases. This definition follows the usage of Smelser, Lijphart, and others who have argued that: 1) for a variety of reasons, social scientists often focus on a "small-N" to which conventional statistical or experimental techniques cannot be applied; and 2) it is nonetheless possible to develop systematic procedures for this type of analysis. This seminar examines major exemplars of small-N analysis, explores the problems that arise in such analysis, and reviews alternative solutions to these problems.*

### 1. Alternative Views of Comparison

Skocpol, Theda and Margaret Somers (1980) "The Uses of Comparative History in Macrosocial Inquiry." *Comparative Studies in Society and History* 12, No. 2 (April), pp. 174-197.

Verba, Sidney (1967) "Some Dilemmas in Comparative Research." *World Politics* 20, No. 1 (October), pp. 111-127.

Przeworski, Adam and Henry Teune (1970) *The Logic of Comparative Social Inquiry*. New York: John Wiley and Sons. Pp. 3-13, 17-30, 74-76.

Collier, David (1991) "The Comparative Method: Two Decades of Change." In Dankwart A. Rustow and Kenneth Paul Erickson, eds., *Comparative Political Dynamics: Global Research Perspectives*. New York: Harper Collins.

### 2. Basic Perspectives on Concepts

Easton, David (1953) "On Theory and Facts." Pp. 52-54 in Easton, *The Political System*. New York: Alfred A. Knopf.

Sartori, Giovanni (1970) "Concept Misformation in Comparative Politics." *American Political Science Review* 64, No. 4 (December), pp. 1033-1053.

Przeworski, Adam and Henry Teune (1970) "Part II: Measurement." In the *Logic of Comparative Social Inquiry*. New York: John Wiley and Sons.

Geertz, Clifford (1973) "Thick Description: Toward an Interpretive Theory of Culture." Chapter 15 in Geertz, *The Interpretation of Cultures*. New York: Basic Books, Inc.

### 3. Exemplars: Alternative Approaches to Description

Dahl, Robert A. (1971) *Polyarchy: Participation and Opposition*. New Haven: Yale University Press. Chapters 1 and 2.

Pen, Jan (1971) "Some Facts to be Explained." Chapter 3 in Pen, *Income Distribution: Facts, Theories, Policies*. New York: Praeger.

Geertz, Clifford (1973) "Deep Play: Notes on the Balinese Cockfight." Chapter 15 in Geertz, *The Interpretation of Cultures*. New York: Basic Books, Inc.

### 4. Exemplars: Small-N Comparisons, Types, and Typologies

Lipset, Seymour Martin (1983) "Radicalism or Reformism: The Source of Working-Class Politics." *American Political Science Review* 77, No. 1 (March), pp. 1-18.

Hibbs, Douglas A., Jr. (1978) "On the Political Economy of Long-Run Trends in Strike Activity." *British Journal of Political Science* 8, Part 2 (April), pp. 153-175.

Carl J. Friedrich and Zbigniew Brzezinski (1956) "The General Characteristics of Totalitarian Dictatorship." In Friedrich and Brzezinski, eds., *Totalitarian Dictatorship and Autocracy*. Cambridge: Harvard University Press.

Linz, Juan (1975) "Totalitarian and Authoritarian Regimes." In Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*. Reading, Mass.: Addison-Wesley. 1975.

### 5. Exemplars: A "Case Study." Small-N Regression with an Interaction Term and a Quasi-Experiment.

Lerner, Daniel (1958) "The Grocer and the Chief: A Parable." Chapter 1 in Lerner, *The Passing of Traditional Society: Modernizing the Middle East*. Glencoe: The Free Press.

Lange, Peter and Geoffrey Garrett (1985) "The Politics of Growth: Strategic Interaction and Economic Performance in Advanced Industrial Democracies, 1974-1980." *Journal of Politics* 47, No. 3 (August), pp. 792-827.

Campbell, Donald T. and H. Laurence Ross (1970) "The Connecticut Crackdown on Speeding: Time Series Data in Quasi-Experimental Analysis." In Edward R. Tufte, ed., *The Quantitative Analysis of Social Problems*. Reading, Mass.: Addison-Wesley.

### 7. More on Concepts

Sweetser, Eve (1987) "On the Definition of a Lie." In Dorothy Holland and Naomi Quinn, eds., *Cultural Models in Language and Thought*. Cambridge: Cambridge University Press.

Pitkin, Hanna Fenichel (1987) "Rethinking Reification." *Theory and Society*, Vol 16, pp. 263-293.

Jones, Charles O. (1974) "Knowing Before Doing: Concept Development in Political Research." *American Journal of Political Science* 18, No. 1 (February), pp. 215-228.

### 8. New Cognitive Science Perspectives on Concepts and Categorization

Lakoff, George (1987) *Women, Fire, and Dangerous Things: What Categories Tell Us About the Mind*. Chicago: University of Chicago Press. Preface and Chapters 1 to 5.

### 9. More on New Cognitive Science Perspectives

Lakoff, *Women, Fire*, Chapters 6-10.

### 10. Most Similar and Most Different Systems Designs

Mill, John Stuart (1974) "Of the Four Methods of Experimental Inquiry"; and "Of Plurality of Causes; and of the Intermixture of Effects." In Mill, *A System of Logic*. Toronto: University of Toronto Press. (Originally published in 1843.)

Cohen, Morris R. and Ernest Nagel (1934) "The Methods of Experimental Inquiry." Chapter 13 in Cohen and Nagel, *An Introduction to Logic and Scientific Method*. New York: Harcourt, Brace and World.

Przeworski, Adam and Henry Teune (1970) "Most Similar Systems Designs," and "Most Different Systems Designs." In Chapter 2 of Przeworski and Teune, *The Logic*.

### 11. More on Most Similar/Most Different Systems Designs

Lijphart, Arend (1975) "The Comparable Cases Strategy in Comparative Research." *Comparative Political Studies* 8, No. 2 (July), pp. 158-177.

Frendreis, John P. (1983) "Explanation of Variation and of Covariation: The Purpose and Logic of Comparative Analysis." *Comparative Political Studies* 65, No. 3 (September), pp. 255-271.

Meckstroth, Theodore W. (1975) "Most Different Systems' and 'Most Similar Systems': A Study in the Logic of Comparative Inquiry." *Comparative Political Studies* 8, No. 2 (July), pp. 132-157.

### 12. New Perspectives on Similarity I

Tversky, Amos and Itamar Gati (1978) "Studies of Similarity." In Barbara B. Lloyd and Eleanor Rosch, eds. *In Cognition and Categorization*. Hillsdale: Lawrence Erlbaum Associates, Publishers.

Tversky, Amos (1977) "Features of Similarity." *Psychological Review*, 84, No. 4 (July), pp. 327-352.

Vosniadou, Stella and Andrew Ortony (1989) "Similarity and Analogical Reasoning: A Synthesis." In Vosniadou and Ortony, *Similarity and Analogical Reasoning*. Cambridge: Cambridge University Press.

### 13. New Perspectives on Similarity II

Rips, Lance J. (1989) "Similarity, Typicality, and Categorization."

Smith, Linda B. (1980) "From Global Similarities to Kinds of Similarities: the Construction of Dimensions in Development."

Collins, Allan and Mark Burstein (1989) "Afterward: Comments on Parts I, II, and III: A Framework for a Theory of Comparison and Mapping." All of the above in Vosniadou and Ortony, *Similarity and Analogical Reasoning*.

### 14. Charles Ragin on Variable-Oriented and Case-Oriented Approaches

Ragin, Charles (1987) *The Comparative Method: Moving Beyond Qualitative and Quantitative Strategies*. Berkeley and Los Angeles: University of California Press. Preface, Chapters 1 to 5.

### 15. Ragin's Application of Boolean Notation

Ragin, *The Comparative Method*, Chapters 6 to 9.

### 16. New Perspectives on Case Studies

Campbell, Donald T. (1975) "'Degrees of Freedom' and the Case Study." *Comparative Political Studies* 8, No. 2 (July), pp. 178-193.

Eckstein, Harry (1975) "Case Study and Theory in Political Science." In Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, Vol. 7: Strategies of Inquiry. Reading, Mass.: Addison-Wesley.

George, Alexander L. and Timothy J. McKeown (1985) "Case Studies and Theories of Organizational Decision Making." In *Advances in Information Processing in Organizations*, Vol 2, Santa Barbara: JAI Press, Inc.

### 17. More on Case Studies and Selection Bias

Achen, Christopher H. and Duncan Snidal (1989) "Rational Deterrence Theory and Comparative Case Studies." *World Politics* 46, No. 2 (January), pp. 143-169.

Geddes, Barbara (1990) "How the Cases You Choose Affect the Answers You Get." Institute of Governmental Studies, University of California, Berkeley, Working Paper No. 90-13.

### 18. The Politics of Growth and Regression Diagnostics

Lange, Peter and Geoffrey Garrett (1985) "The Politics of Growth: Strategic Interaction and Economic Performance in Advanced Industrial Democracies, 1974-1980." *Journal of Politics* 47, No. 3 (August), pp. 792-827.

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## Ballot on Proposed Revision of the Bylaws

Do you support the proposal to remove the underlined text  
(see page 4) from section C, paragraph 2 of the bylaws?

Yes

No

Please mark your ballot and then mail it to:

Professor Russell Dalton  
School of Social Sciences  
University of California, Irvine  
Irvine, CA 92717

**All ballots must be received by October 1, 1991, to be counted.**

### APSA-CP

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ERRATUM

The August business meeting is scheduled for Saturday, as noted on page 1. However, the date is August 31, not September 1. (Sorry!)